



Department of Horticulture,  
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HIMACHAL PRADESH, India  
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No.19-27 (EAP-HPSHIVA-E-tender-CS02)/2021

Date: 20-10-2020

Request for Expression of Interest for Consultancy Services of **Social Safeguards Specialist** under Himachal Pradesh Subtropical Horticulture, Irrigation and Value Addition Project: Project Readiness Financing (HP SHIVA PRF) Project.

*Package No.:* CS02 [53189-001-IND]

**Package Name: PMU consultants**

1. The Government of Himachal Pradesh (GOHP) intends to apply the proceeds of an Asian Development Bank loan for the implementation of the Himachal Pradesh Subtropical Horticulture, Irrigation and Value Addition Project (HP-SHIVA). The HP-SHIVA project, comprising a Project Readiness Financing (PRF) US\$10 Million (over two years) and the ensuing loan of US \$90 Million, aims to increase the income of at least 25,000 farm households in seven districts (Bilaspur, Hamirpur, Kangra, Mandi, Solan, Sirmour and Una) of subtropical areas of Himachal Pradesh. In the long-term, the HP-SHIVA project will contribute to (i) increased income of rural households in Himachal Pradesh, (ii) strengthened climate resilience in Himachal Pradesh, (iii) expand irrigation facilities to farmers, and (iv) raise the profitability and farm gate price of subtropical horticulture production through value chain development of targeted commodities.
2. Project Readiness Financing (PRF) loan will pilot the development of high-density horticulture production at 17 sub-project sites in 4 Districts (Bilaspur, Hamirpur, Kangra and Mandi) covering 168 ha involving 600 male and female beneficiary landowning households.
3. The consultant shall be selected and engaged in accordance with the Guidelines on the Use of Consultants by Asian Development Bank and its Borrowers (Consulting Guidelines) or the ADB Procurement Policy 2017 ("Policy") and the Procurement Regulations for ADB Borrowers 2017 ("Procurement Regulations").
4. Please note that **only** on-line submission of EOI in ADB's Consultant Management System (CMS) <https://cms.adb.org> is allowed. All offline and manual submission of EOI is **not permissible**.

5. Interested consultants are invited to submit EOI in ADB CMS on or before 02<sup>nd</sup> November, 2020.

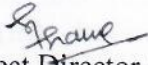
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6. The details of consultancy assignment are-

*(Selection No. 164115)*

Start Date of Publication	Deadline for Submitting EOI
20 <sup>th</sup> October, 2020	02 <sup>nd</sup> November, 2020.
Duration of Assignment (in Months)	Tentative commencement date
24	December, 2020
Anticipated completion date	Types of consultants
December, 2022	Independent
Selection method	Estimated Budget (USD)
Individual Consultant Selection (ICS)	<b>1,06,252.00</b>

7. For your online submission, your EOI should demonstrate technical competence and geographical experience based on project references entered under the CMS profile.
8. "Due to the outbreak of COVID-19, the commencement and completion dates and other implementation arrangements for this assignment are to be considered as indicative only. The final dates and implementation arrangements will be agreed with the first-ranked candidate at contract negotiations taking into consideration the prevailing situation with COVID-19 at that time."

  
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**CS02 PMU Technical Support - Social Safeguards specialist  
Terms of Reference**

<b>Contract</b>	<b>CS02 PMU Technical Support - Social Safeguards specialist</b>		
<b>Project</b>	IND: Himachal Pradesh Subtropical Horticulture, Irrigation and Value Addition - Project Readiness Financing		
<b>Expertise</b>	Social Safeguards		
<b>Source</b>	National	<b>Category</b>	Independent

**Objective/Purpose of the Assignment:**

1. The Government of Himachal Pradesh (GOHP) intends to apply the proceeds of an Asian Development Bank loan for the implementation of the Himachal Pradesh Subtropical Horticulture, Irrigation and Value Addition Project (HP-SHIVA) The overall HP-SHIVA project, comprising a Project Readiness Facility ((PRF) US\$10 million over two years) and the ensuing loan, aims to increase the income of at least 25,000 farm households in seven districts (Bilaspur, Hamirpur, Kangra, Mandi, Solan, Sirmour and Una) of subtropical areas of Himachal Pradesh. In the long-term, the HP-SHIVA project will contribute to (i) increased income of rural households in Himachal Pradesh; and (ii) strengthened climate resilience in Himachal Pradesh. The HP-SHIVA project will expand irrigation to farmers, increase subtropical horticulture production and its climate resilience, and raise the profitability and farm gate price of subtropical horticulture production through value chain development of targeted commodities.
2. Project Readiness Facility (PRF) loan will pilot the development of drip-irrigated high-density horticulture production at 17 locations in 4 districts (Bilaspur, Hamirpur, Kangra, Mandi) and 10 blocks incorporating 168 ha of irrigated land across 16 irrigated areas and involving 480 male and female beneficiary landowning households.
3. The PRF will have the following four outputs:
  - (i) **Output 1:** Irrigation infrastructure development and farmer management systems established. This output will be management by DIPH. Output 1 will (a) construct or rehabilitate 16 irrigation schemes introducing innovative technologies for water extraction, distribution and storage, and enhanced climate and disaster resilience (PRF pilot); (b) establish and capacitate WUAs on the constructed irrigation schemes with women's participation and gender equitable leadership; and (c) finalize the draft WUA act to be submitted for the HP legislative assembly's enactment.
  - (ii) **Output 2:** Subtropical horticulture production technology and marketing systems demonstrated. This output will be managed by DOH. Output 2 will: (a) develop 17 subtropical horticulture plots covering 168 hectares, engaging approximately 600 male and female farmers for irrigated high- and ultra-high density production of citrus, guava, litchi and pomegranate (PRF pilot); (b) fence all horticulture plots to protect from animal predation (PRF pilot); (c) form CHPMA in the PRF areas and build their capacities for business/marketing; and (d) facilitate the development of CHPMA investment plans for subtropical horticulture value addition and market development.
  - (iii) **Output 3:** Enabling environment, tools and capacity for promoting irrigated subtropical horticulture provided. This output focuses on developing capacity building tools and creating an enabling environment for the implementation of the ensuing project. Specifically, the project will: (a) support DOH to prepare the Himachal Pradesh Horticulture Sector Development Strategy and Investment Plan 2023-2030; (b) provide technical training and experimental study tours, as well as investment project management including safeguards, procurement, gender, financial management and institutional strengthening for DOH and DIPH staff; (c) train CHPMA



members on orchard management through preparing the packages of practice for key subtropical horticulture crops and providing on-site training; (d) provide technical support to subtropical horticulture nurseries; and (e) establish scientific and project monitoring system in the Project Management Unit (PMU).

- (iv) Output 4: Ensuing investment project and its implementation readiness prepared. This output will support DOH and DIPH to prepare the feasibility studies, detailed engineering design and surveys, due diligence assessment, safeguards preparation work and bid documents for the ensuing loan, supported by PRF consultants. Possible public-private partnership pathways will be explored in collaboration with the Private Sector Operations Department as part of the ensuing loan preparation.
4. The PRF will be coordinated by Project Management Unit (PMU) led by the Department of Horticulture and including DOH and Department of Irrigation and Public health (DIPH) technical and administrative staff.
5. To strengthen the PMU project implementation capacity, the PMU will individually recruit national gender, social safeguard, environment safeguard, data management, Geographic Information System (GIS) and financial and procurement management, specialists to support the PMU with project implementation.

**Scope of Work:**

The Social safeguards specialist will ensure that social safeguards, and feedback handling mechanism policies, principles, procedures, and requirements are integrated in all project subprojects, are operational, and are compliant with GoHP and ADB standards.

**Detailed Tasks:**

As Focal Person for Social Safeguards:

- (i) Determine the capacities and assess the needs of the project teams for them to be able to implement subprojects based on the social and cultural context of the communities they are working with;
- (ii) Identify key stakeholders (poor and vulnerable groups in particular), their project related interests and any socio-economic barriers to delivery of project benefits;
- (iii) Recommend strategies for addressing the concerns of these stakeholders and maximizing the socio-economic benefits of the projects;
- (iv) Consult stakeholders in the proposed project areas and prepare, as part of the HP-SHIVA baseline study, a poverty and social analysis that provides a baseline against which envisaged improvements in the communities' lives can be measured;
- (v) Train relevant PMU staff and other relevant agencies in safeguard related project policies, principles, procedures and requirements.
- (vi) Capacitate project implementers to conduct meaningful consultations with the impacted communities during each stage of subproject development.
- (vii) Develop plans and strategies to ensure compliance with project social safeguard requirements.
- (viii) Prepare resettlement plans and/or Indigenous Peoples plans (if required) and ensure livelihood restoration measures for project affected households and businesses are included in any project resettlement plans and the plans are gender sensitive;
- (ix) Monitor compliance with safeguards project requirements to identify gaps and issues and provide technical assistance support when necessary.
- (x) Facilitate compliance with safeguards reporting requirements.
- (xi) Prepare plans, reports, field notes, thought papers and other documents.
- (xii) Ensure that related plans and other documents are properly disclosed;



- (xiii) Define strategies for information dissemination to effectively communicate social safeguard programs and strategies.
- (xiv) Perform other tasks as may be assigned by the Project Director.

**As focal Person for Feedback Handling:**

- (i) Develop procedures for the implementation of the project Feedback Handling mechanism (FHM).
- (ii) Train relevant PMU and DoH/DIPH staff on the implementation and management of the FHM.
- (iii) Ensure proper recording of all feedback and grievances received by the PMU and facilitate resolution and responses to received feedback as appropriate, within the prescribed period.
- (iv) Monitor implementation and operation of the GRM, collect and consolidate data on the GRM and prepare regular reports on these data.
- (v) Provide training for WUA and CHPMA members and committees on the FHM.

Perform other tasks as may be assigned by the Project Director.

**Output/Reporting Requirements:**

- By mid PY 2, a poverty and social analysis survey (as part of the HP-SHIVA baseline survey) that provides a baseline against which envisaged improvements in the communities' lives can be measured
- Ensure the integration of social safeguards in subproject planning and implementation.
- Capability building modules on social safeguards and feedback handling mechanisms.
- Required monthly and quarterly reports.
- Periodic and annual project social safeguards survey.
- Annual project social safeguards report.
- Occasional social safeguards studies.

**Qualifications**

- Master's degree in social sciences, development studies or related discipline.
- At least 10 years of relevant experience in social safeguards monitoring and management;
- Familiarity with policies and practice in India of donor-funded projects, preferably with ADB-financed projects.
- Experience in developing strategies for community-led development programs;
- Strong analytical and problem solving and communication skills;
- 3 years of experience working in the field of grievance redress will be an advantage;
- Strong networking, team building, decision-making, organizational skills;
- Computer literate.

Places of Assignment:	Days Estimated	Dates (dd/mm/yyyy)
Shimla	456	1 Dec 2020 – 31 Nov 2022
Other City, India	72	
TOTAL DAYS (state if Intermittent)	528	

**Health and Safety concerns:**

“The consultant is responsible for their own health and safety in relation to the consulting services assignment and shall comply with the country specific requirements and regulations in relation to COVID-19.”